



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

September 27, 2012

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Interim Provost & Executive Vice President for Academic Affairs

RE: Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures
and Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of \$376,751 for Storrs and the Regional Campuses and \$1,570,829 for the Health Center for Fiscal Year 2012 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached lists represent actual expenditures for the Deferred Maintenance project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual projects and expenditures compared to the estimated amounts that formed the basis of the original capital budget for FY12 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily reflect the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code/ADA Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

An Equal Opportunity Employer

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University of Connecticut
UCONN 2000 Phases I-III Deferred Maintenance/Code/ADA Renovation Lump Sum
Expenditures For Fiscal Years 1996 - 2012

	Phase I	Phase II	Phase III						Total	
	FY96-FY99	FY00-FY05*	FY 2006	FY 2007	FY 2008	FY2009	FY2010	FY2011		FY2012
Storrs & Regional Campuses	\$ 45,858,374	\$ 125,333,277	\$ (951,948)	\$ 12,531,250	\$ 19,548,353	\$ 20,869,953	\$ 16,342,058	\$ 22,449,663	\$ 11,809,480	\$ 273,790,461
Health Center	-	11,700	1,396,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	2,786,926	22,396,071
Grand Total	\$ 45,858,374	\$ 125,344,977	\$ 444,660	\$ 15,099,123	\$ 22,726,999	\$ 25,156,906	\$ 21,498,616	\$ 25,460,469	\$ 14,596,406	\$ 296,186,532

UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications
Expenditures For Fiscal Years 1996 - 2012

	Phase I	Phase II	Phase III						Total	
	FY96-FY99	FY00-FY05*	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011		FY 2012
Storrs & Regional Campuses										
Academic Services ¹	\$ 28,880,247	\$ 61,083,979	\$ 4,093,617	\$ 5,203,765	\$ 14,934,628	\$ 8,577,412	\$ 2,079,299	\$ 3,747,178	\$ 252,889	\$ 128,853,013
Libraries ²	17,024,852	34,651,315	4,232,986	1,010,344	201,713	110,274	50	-	-	57,231,533
Student Services ³	541,364	1,764,989	2,651	43,731	200,860	131,085	39,040	6,630	-	2,730,351
Operational Support & Physical Plant Services ⁴	5,835,173	11,048,201	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	1,870,407	28,893,079
Total - UConn Storrs & Regional Campuses	\$ 52,281,635	\$ 108,548,484	\$ 8,330,274	\$ 7,367,137	\$ 20,489,019	\$ 9,386,105	\$ 2,466,211	\$ 6,715,815	\$ 2,123,296	\$ 217,707,977
Health Center										
Academic Services ¹	\$ -	\$ 3,653	\$ 2,881,808	\$ 2,755,229	\$ 2,815,439	\$ 2,657,351	\$ 2,611,195	\$ 3,976,909	\$ 1,913,969	\$ 19,615,552
Libraries	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	120,783	7,250,390
Operational Support & Physical Plant Services ⁴	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	1,913,969	19,615,552
Total spent by UConn Storrs & Regional Campuses for Health Center ⁵	\$ -	\$ 7,306	\$ 7,171,294	\$ 6,650,356	\$ 6,197,361	\$ 6,475,883	\$ 6,466,971	\$ 9,563,602	\$ 3,948,721	\$ 46,481,494
Grand Total	\$ 52,281,635	\$ 108,555,790	\$ 15,501,568	\$ 14,017,493	\$ 26,686,380	\$ 15,861,988	\$ 8,933,182	\$ 16,279,417	\$ 6,072,017	\$ 264,189,471

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions was shifted to operating dollars as part of a phase-in plan which makes UCONN 2000 dollars available for reallocation to other capital expenses. This is important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) Health Center expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.

University of Connecticut (Storrs and Regional Campuses)
UCONN 2000 Deferred Maintenance/Code/ADA Renovation Lump Sum Project List for 2012 Authorized Projects

Through Fiscal Year 6/30/12

(Report does not include all prior years Deferred Maintenance allocated projects)

PROJECT NAME	Project #	Original Budget	Changes	Revised Budget	Expended	Encumbered	Budget Balance Available
Buildings:							
Athletics Mechanical Systems - Long-Term Improvements	901613	400,000	(400,000)	-			-
Atwater Façade & Window Replacement	901397	300,000		300,000			300,000
Atwater Finish Roofs; Façade	901673	350,000		350,000	10,399	7,695	331,906
Bio-Physics (Seating Replacements)	901671	100,000		100,000	31,048	-	68,952
Central Warehouse Roof Snow Guards	901670	81,000		81,000			81,000
Chemistry Building Skylight	901608	100,000		100,000	100,000	-	-
Field House Built Up Roof Replacement-Repair Standing Seam	901403	150,000		150,000			150,000
Museum of Natural History Exterior	901610	250,000		250,000			250,000
Paint and Reside Four Small Cottages	901674	100,000	(100,000)	-			-
South Campus Entry Area Snow and Ice Protection-Rome	901609	100,000		100,000			100,000
Towers Fresh Air	901672	170,000		170,000			170,000
Subtotal		2,101,000	(500,000)	1,601,000	141,447	7,695	1,451,858
Infrastructure:							
Glenbrook Road and Sidewalk Replacement Design	901681	30,000		30,000			30,000
Install Replacement Steam/Condensate Piping Storrs Hall/Wilbur Cross	901679	150,000		150,000			150,000
Main Water Supply Line Repair/Replacement Study	901634	400,000	-	400,000			400,000
Putnam Hall Switchgear and Transformer Upgrade-Design	901680	25,000		25,000			25,000
Roads, Sidewalks & Miscellaneous Repairs - Phase III	901618		90,000	90,000	-	-	90,000
Schenker UG HW Pipe	901677	75,000		75,000	27,374	-	47,626
Sewer Replacement Storrs Road Pump Station-Design	901675	400,000		400,000	23,174	36,725	340,101
Whetten CW Underground Pipe	901676	50,000		50,000			50,000
Subtotal		1,130,000	90,000	1,220,000	50,548	36,725	1,132,727
Code/ADA:							
Misc. Asbestos/Mold/Lead Abatement Allowance	901682	205,079	253,671	458,750	135,916	92,184	230,650
North Campus Solid Waste Consent Order	901683	50,000	(50,000)	-			-
Subtotal		255,079	203,671	458,750	135,916	92,184	230,650
Renovation/Lump Sum:							
Renovate House #24 & #27 & Rooms in Monteith & Bousfield	901728	-	60,000	60,000	-	-	60,000
UITS Data Center Gant - Design	901669	400,000		400,000	48,840	34,300	316,860
Subtotal		400,000	60,000	460,000	48,840	34,300	376,860
Emergency Reserve:							
Emergency/Reserve Fiscal Year 2012	901684	400,000	146,329	546,329			546,329
Grand Total FY12		\$ 4,286,079	\$ -	\$ 4,286,079	\$ 376,751	\$ 170,904	\$ 3,738,424

Previous Years Authorized Projects

11,432,729

Total FY12 Expenditures for all Projects

\$ 11,809,480

University of Connecticut Health Center
UCONN 2000 Deferred Maintenance/Code/ADA/Renovation Lump Sum Expenditures for Fiscal Year 2012 Authorized Projects
Through Fiscal Year 06/30/12

(Report does not include all prior years Deferred Maintenance allocated projects)

Project Name	Project Number	Budget Allocated FY12	Changes	Revised Budget FY12	Expended FY12	Encumbered	Budget Balance Available
<u>Deferred Maintenance - Buildings</u>							
		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<u>Deferred Maintenance - Infrastructure</u>							
Chiller Plant Replacement	09-603.02	2,700,000	-	2,700,000	1,567,478	-	1,132,522
Subtotal		\$ 2,700,000	\$ -	\$ 2,700,000	\$ 1,567,478	\$ -	\$ 1,132,522
<u>Code/ADA</u>							
		-	-	-	-	-	-
		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<u>Renovation And Lump Sum Projects</u>							
Fire Alarm System Upgrade	10-068	-	106,000	106,000	-	-	106,000
Exhaust Fan 71 Replacement	08-024	-	13,000	13,000	-	-	13,000
Grounds Bathroom Refurbishing	11-039	-	37,100	37,100	2,794.00	-	34,306
Daycare Playscape/Ramp Improvements	08-609	-	557	557	556.81	-	-
Subtotal		\$ -	\$ 156,657	\$ 156,657	\$ 3,351	\$ -	\$ 153,306
<u>Emergencies</u>							
Emergency/Reserve	11-606	300,000	(156,657)	143,343	-	-	143,343
Total FY11		\$ 3,000,000	\$ -	\$ 3,000,000	\$ 1,570,829	\$ -	\$ 1,429,171

Previous Years Authorized Projects

1,216,097

Total FY12 Expenditures for all Projects

\$2,786,926