




Office of the Executive Vice President for  
Administration and Chief Financial Officer  
**Scott A. Jordan**  
Executive Vice President  
for Administration  
and Chief Financial Officer

September 26, 2018

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: FY18 Deferred Maintenance and Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees acknowledge the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities expenditures of \$32,284,297 (Storrs and the Regional Campuses: \$32,207,037 and UConn Health: \$77,260) for Fiscal Year 2018 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached list represents actual expenditures for the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities (Deferred Maintenance) project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for information. The attached information includes a listing of actual expenditures by type of project (buildings; infrastructure; code/ADA; access, appearance & safety; renovation lump sum) compared to the estimated amounts that formed the basis of the original capital budget for FY18 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily equal the authorization for the specific year.

Also attached is the annual informational report: the summary of all "Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities" and "Equipment, Library Collections and Telecommunications" expenditures to date under the UCONN 2000 program.

**University of Connecticut**  
**UCONN 2000 Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility,**  
**Administrative and Support Facilities**  
**Budget and Project Expenditures through 6/30/18**

	FY18 Original Budget 6/28/17	FY18 Revised Budget 6/30/18	Fiscal Year 2018 Authorized Projects			All Previous Years Authorized Projects Expended in FY18	Total FY18 Expenditures
			Expended in FY18	Encumbered	Budget Balance Available		
<b>Storrs &amp; Regional Campuses</b>							
Buildings	\$ 13,750,000	\$ 12,501,000	\$ 3,728,778	\$ 950,506	\$ 7,821,717	\$ 10,655,266	\$ 14,384,044
Infrastructure	46,957,678	46,444,042	26,661,510	16,958,709	2,823,823	19,941,890	46,603,400
Code/ADA	500,000	430,000	9,245	191,705	229,050	2,546,728.89	2,555,974
Access, Appearance & Safety	1,900,000	4,140,000	685,693	1,314,447	2,139,859	1,662,567	2,348,260
Renovation Lump Sum	17,577,288	6,488,000	1,121,810	1,015,297	4,350,893	6,219,199	7,341,009
Reserves	15,458,290	2,243,428	-	-	2,243,428	-	-
<b>Total</b>	<b>\$ 96,143,256</b>	<b>\$ 72,246,470</b>	<b>\$ 32,207,037</b>	<b>\$ 20,430,663</b>	<b>\$ 19,608,770</b>	<b>\$ 41,025,651</b>	<b>\$ 73,232,687</b>
<b>UConn Health</b>							
Buildings/Infrastructure	\$ 549,150	\$ 549,150	\$ 77,260	\$ 35,919	\$ 435,971	\$ 4,059,035	\$ 4,136,295
Reserves	100,000	100,000	-	-	100,000	-	-
<b>Total</b>	<b>\$ 649,150</b>	<b>\$ 649,150</b>	<b>\$ 77,260</b>	<b>\$ 35,919</b>	<b>\$ 535,971</b>	<b>\$ 4,059,035</b>	<b>\$ 4,136,295</b>
<b>Grand Total</b>	<b>\$ 96,792,406</b>	<b>\$ 72,895,620</b>	<b>\$ 32,284,297</b>	<b>\$ 20,466,582</b>	<b>\$ 20,144,741</b>	<b>\$ 45,084,686</b>	<b>\$ 77,368,982</b>

## University of Connecticut

### UCONN 2000 Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities Project Expenditures During Fiscal Year 2018

<u>Project Name</u>	<u>Expenditures</u>
<b>Storrs &amp; Regionals</b>	
<b>Buildings</b>	
Annual Lifecycle-Elevator Renewals/Replacement/Upgrades Allotment	17,809
Annual Lifecycle-Life Safety System Renewals Allotment	1,391
Art Ceramic Studio Ventilation Improvements	147,382
Avery Point Library - Elevator Modernization	74,854
Castleman Machine Shop Air Conditioning	15,835
CUP Turbine Air Intake Canopy	44,047
Engineering II & UTEB Air Conditioning Upgrade	1,461,649
Engineering II Roof Replacement	58,051
Gampel Pavilion Dome Ceiling and Roof Repair	7,018,461
Law School Hosmer Facade Restoration Phase II - Hosmer Hall	1,064,359
Stamford Campus Garage - Demolition	4,214,303
Stamford Parking Garage Safety Repairs	183,464
Stamford Parking Garage Structural Repairs	3,028
Storrs House Wall	79,410
<b>Buildings Total</b>	<b>\$ 14,384,044</b>
<b>Infrastructure</b>	
Annual Lifecycle-Utility Renewals,Replacements & Upgrades Allotment	65,793
Babbidge Library Electrical Distribution System Upgrade	934,864
Boiler Plant Equipment Replacement	129,562
Central Campus Infrastructure	6,726
CUP Equipment Replacement and Pumping Project	321,297
Drainage Master Plan Update	85,430
East Central Campus Utility Upgrade	33,221
EEB Greenhouse Enabling Utilities	2,831
Energy Services Performance Contract - Phase I (ESCO)	8,174
Fenton River Well Field & Road Repair	15,058
Main Water Line Replacement Phase II	205,449
North Eagleville Road Area Infrastructure Repair/Replacement & Upgrade Phase II	121,853
North Campus Power & Communication Reliability Upgrades	6,833
North Eagleville Road Area Infrastructure Repair/Replacement: Ph I Exigent	2,813
North Eagleville Rd Area Infrastructure Repair/Replacement & Upgrade-Phase III	28,277,824
North East Res Halls - Security Camera System	137,777
Northwest Quad and Gelfenbien Drainage	17,065
Northwest Science Quad Infrastructure - Phase 1	13,515,917
Sewer Line Replacement at Storrs Road Pump Station	251,457
Southwest Campus Infrastructure Upgrade	681,492
Stud Union Steam & Condensate Return Service Replacement	76,828
Supplemental Utility Plant	1,020,044
Utility Framework - Utility System Modeling	110,001
Utility Infrastructure GIS Mapping	363,445
Water Pollution Control Facility Priority One Repairs	198,366
WPCF Underground Piping Installation	13,280
<b>Infrastructure Total</b>	<b>\$ 46,603,400</b>
<b>Code/ADA</b>	
UC2000 Code Remed-Alumni Quad Dormitories	8,802
UC2000 Code Remed - Buckley Hall Dorm (add'l work)	107,261
UC2000 Code Remed - South Campus Laundry	334,123
UC2000 Code Remed - White Bldg/Dairy Bar Improvements	59,675
UC2000 Code Remed-Wilbur Cross Building	108,291
UC2000 Code Remed-Holc/Whit/Sprague Dorms	221,435
UCFM Code Remediation - Gampel Pavilion - Wolff Zackin Pool Room	88,988
UCFM Code Remediation - Avery Point Branford House	65,967
UCFM Code Remediation - Avery Point Building #7	41,021
UCFM Code Remediation - Avy Pt Branford House Ph II	93,700
UCFM Code Remediation - Daily Campus	28,020
UCFM Code Remediation - Lebanon Cottage	22,219
UCFM Code Remediation - Lorentzen Horse Stable	96,301
UCFM Code Remediation - McMahon Dining Hall	9,457

<b>Project Name</b>		<b>Expenditures</b>
	UCFM Code Remediation - North Campus Dining Hall	31,617
	UCFM Code Remediation - Student Union	7,031
	UCFM Code Remediation - Williams Health Services Building	30,735
	UCFM Code Remediation: Campus Wide Laundry Alterations	120,999
	UCFM Code Remediation-North Parking Garage	4,365
	UCFM Code Remed-Longley School-Depot Campus	89,394
	UConn 2000 Code Remed - Mansfield Apartments	517,208
	UConn 2000 Code Remed - Music / Drama	71,387
	UConn 2000 Code Remed - Northwest Quad	4,516
	UConn 2000 Code Remed - Shippee Hall Dorm	20,628
	UConn 2000 Code Remediation - CLAS - CE Waring	51,241
	UConn 2000 Code Remediation - Starr Hall	4,625
	UConn 2000 Code Remediation - Towers Dormitories	45,208
	UConn2000 Code Remed - Stamford Downtown Relocation	271,757
<b>Code/ADA Total</b>		<b>\$ 2,555,974</b>
<b>Access, Appearance &amp; Safety</b>	Central Campus Parking	194,414
	Dodd/Jorgensen/Babbidge - Concrete Stair Replacements	15,672
	Gampel Area Bollards	36,306
	Horsebarn Hill Pedestrian Safety Improvements	10,413
	Northwest Quad - Science 1 - Site Improvements & Tunnel	497,421
	Pedestrian Safety Improvements Benton Service Alley	292,127
	South Campus Commons Landscape & Pedestrian Improvement Plan	663,961
	South Campus Stair Repair	77,483
	Stamford Campus - Surface Parking Lot	226,007
	Tech Park Parking Lot - Parcel J	330,008
	Wayfinding Assessment and Implementation	4,449
<b>Access, Appearance &amp; Safety Total</b>		<b>\$ 2,348,260</b>
<b>Renovation Lump Sum</b>	Academic Building Refurbishment 2016 Design	3,484
	Atwater / Patho Interior Refurbishment	52,402
	Beach Hall Lab Renovation	337,072
	Bishop Center 1st Floor Renovation	59,077
	CLAS Academic Service Center (ASC) Relocation to Rowe Building	518,519
	Engineering II Computer Lab 202 Renovation	87,299
	Family Studies Interior Reburishment	37,307
	Francis L Castleman Building 256 & 340 Renovation	13,380
	FY17 Environmental Compliance-Asbestos, Abate, Mold Remed & Restoration	335,174
	Hawley Armory Interior Refurbishment	22,747
	Hawley Armory VAMP Renovation	279,094
	Koons Hall Renovation	3,909,551
	Pilot Lab - Engineering II - West Wing (Lab 114+)	29,004
	Psychology Bousfield Annex A201 Renovation	100,276
	Putnam Refectory Renovations	599,174
	School of Engineering Renovations	67,700
	Stamford Campus Police Substation Relocation	382,733
	Towers Office Space Renovation (TL2239)	346,112
	Wilbur Cross Reading Rooms Renovations	160,904
<b>Renovation Lump Sum Total</b>		<b>\$ 7,341,009</b>
<b>Total Storrs &amp; Regionals</b>		<b>\$ 73,232,687</b>

### UConn Health

#### **Buildings/Infrastructure**

"A" Bld Sub-Basement	1,538
195 Farmington Avenue Renovation - U3	20,989
Backup Generator Tie-in	12,563
Building 20 Demolition	194,400
Circle Road Ejector Pump Station	2,433
Clinic (C) Building Roof	822,357
Elevator #27 & 28 Rebuilt	122,801
Elevator 24 & 25 Building Sill Repair	59,948
Engine 3 Fuel Pump	112,779
ESB004 New Boiler & Data	207,033
Fire Alarm Upgrade Phase 3 Clinic Building	13,392
Firepump Controller	8,265
Generator Munson Road	1,250
H Tower - Replace Exterior Sealant	485,172

<u>Project Name</u>	<u>Expenditures</u>
High Pressure Steam Line	317,413
HIM Relocation ASB	36,155
Human Resource Area Improvements	1,782
Install Generator Warehouse	4,053
Main Accumulation Area Bldg	114,166
Munson Rd Roof/Deck repair	621,916
Parking Lot M Repaving	27,572
Parking Lot Repairs	104,483
Parking Lots A,B,C Repaving	426,789
Renovate 195 Farmington PK I	18,013
Repair/Replace Escalators	81,112
Replace Cooling Tower CL&P SWTH	96,000
Replace 190 Ton Cooling Tower	57,754
Sidewalk 195 Farmington Ave.	10,674
UT Penthouse Fire Damper	58,655
<b>Buildings/Infrastructure Total</b>	<b>\$ 4,041,457</b>
<b>Code/ADA</b>	
Automatic Door Opener- UT	3,073
Center for Quantitive Med	9,417
CGSB Remedial Action Plan	18,258
Linen Carts Storage	64,090
<b>Code/ADA Total</b>	<b>\$ 94,838</b>
<b>Total UConn Health</b>	<b>\$ 4,136,295</b>
<b>Grand Total</b>	<b>\$ 77,368,982</b>

**University of Connecticut**  
**UCONN 2000 Phases I-III Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation**  
**Lump Sum and Utility, Administrative and Support Facilities**  
**Expenditures For Fiscal Years 1996 - 2018**

	<u>Phase I FY96-FY99</u>	<u>Phase II FY00-FY05*</u>	<u>Phase III FY06-FY13</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>Total</u>
Storrs & Regional Campuses	\$ 45,858,374	\$125,333,277	\$115,919,531	\$11,220,309	\$24,546,476	\$43,800,626	\$58,842,336	\$ 73,232,687	\$ <b>498,753,617</b>
UConn Health	-	11,700	26,168,622	3,714,548	1,689,211	2,134,902	5,506,767	4,136,295	<b>43,362,045</b>
<b>Grand Total</b>	<b>\$ 45,858,374</b>	<b>\$ 125,344,977</b>	<b>\$ 142,088,154</b>	<b>\$ 14,934,857</b>	<b>\$ 26,235,687</b>	<b>\$ 45,935,528</b>	<b>\$ 64,349,103</b>	<b>\$ 77,368,982</b>	<b>\$ 542,115,662</b>

**UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications**  
**Expenditures For Fiscal Years 1996 - 2018**

	<u>Phase I FY96-FY99</u>	<u>Phase II FY00-FY05*</u>	<u>Phase III FY06-FY13</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>Total</u>
<b>Storrs &amp; Regional Campuses</b>									
Academic Services <sup>1</sup>	\$ 28,880,247	\$ 61,083,979	\$ 38,989,074	\$ 7,178,597	\$12,219,997	\$10,423,771	\$ 7,834,029	\$ 3,311,280	\$ <b>169,920,974</b>
Libraries <sup>2</sup>	17,024,852	34,651,315	5,555,366	-	-	-	-	-	<b>57,231,533</b>
Student Services <sup>3</sup>	541,364	1,764,989	423,998	136,927	72,595	26,432	-	-	<b>2,966,305</b>
Operational Support & Physical Plant Services <sup>4</sup>	5,835,173	11,048,201	19,402,383	2,045,051	5,957,592	4,612,948	12,105,947	5,421,618	<b>66,428,912</b>
<b>Total - UConn Storrs &amp; Regional Campuses</b>	<b>\$ 52,281,635</b>	<b>\$ 108,548,484</b>	<b>\$ 64,370,820</b>	<b>\$ 9,360,575</b>	<b>\$ 18,250,184</b>	<b>\$ 15,063,152</b>	<b>\$ 19,939,975</b>	<b>\$ 8,732,898</b>	<b>\$ 296,547,724</b>
<b>UConn Health</b>									
Academic Services <sup>1</sup>	\$ -	\$ 3,653	\$ 20,342,740	\$ 1,274,389	\$ 1,237,925	\$ 1,087,238	\$ 1,613,990	\$ 22,289,615	\$ <b>47,849,549</b>
Libraries	-	-	7,464,903	3,546	(3,546)	-	-	-	<b>7,464,903</b>
Operational Support & Physical Plant Services <sup>4</sup>	-	3,653	20,342,739	1,274,388	1,237,925	1,087,238	1,613,990	2,270,999	<b>27,830,931</b>
<b>Total spent by UConn Storrs for Uconn Health <sup>5</sup></b>	<b>\$ -</b>	<b>\$ 7,306</b>	<b>\$ 48,150,382</b>	<b>\$ 2,552,323</b>	<b>\$ 2,472,303</b>	<b>\$ 2,174,475</b>	<b>\$ 3,227,980</b>	<b>\$ 24,560,613</b>	<b>\$ 83,145,383</b>
<b>Grand Total</b>	<b>\$ 52,281,635</b>	<b>\$ 108,555,790</b>	<b>\$ 112,521,202</b>	<b>\$ 11,912,898</b>	<b>\$ 20,722,487</b>	<b>\$ 17,237,627</b>	<b>\$ 23,167,956</b>	<b>\$ 33,293,512</b>	<b>\$ 379,693,107</b>

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions was shifted to operating dollars as part of a phase-in plan which made UCONN 2000 dollars available for reallocation to other capital expenses. This was important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) UConn Health expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

\*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.